

**SMART & BIGGAR***Intellectual Property & Technology Law***To Fax no.:** (571) 273-0459**Page 1 of:** 5**Attention:** Assignment Recordation Services**From:** SMART & BIGGAR**Your file no.:** 10/553,661**Reply to Ottawa file no.:** 50680-6P.O. Box 2999, Station D  
55 Metcalfe Street, Suite 900  
Ottawa, Canada K1P 5Y6**Tel.:** (613) 232-2486**Fax:** (613) 232-8440**Date:** November 8, 2006**Time:****RECEIVED**

8 NOV 2006

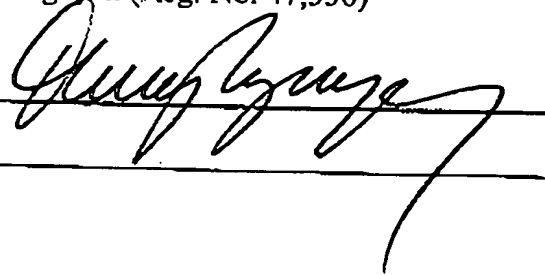
Legal Staff  
International Division**Certificate of Transmission**

I hereby certify that this correspondence is being facsimile  
transmitted to the Patent and Trademark Office Fax. No.  
(571) 273-0459 on November 8, 2006.

type or printed name of the person signing this certificate

Thuy H. Nguyen (Reg. No. 47,336)

Signature

**If there are any transmission problems, please call (613) 232-2486.**

Original copy and any enclosures

☐ will☒ will not

be sent by

☒ mail☒ courier

The information contained in this transmission is confidential and only for the intended recipient identified above. If you are not the intended recipient, you are hereby notified that any dissemination or use of this communication is unlawful. If you have received this transmission in error, please immediately notify us by telephone (collect). Return the original message to us and retain no copy.

**TRANSMITTAL LETTER  
(General - Patent Pending)**

Docket No.  
50680-6 /slr

In Re Application Of: **KENNETH KAO, ET AL**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/553,661	April 16, 2004	Unknown	07380	1614	4668

Title: **PYGOPUS IN DIAGNOSIS AND TREATMENT OF CANCER**

COMMISSIONER FOR PATENTS:

Transmitted herewith is:

**REQUEST FOR CORRECTED FILING RECEIPT**

Applicant respectfully requests a Corrected Filing Receipt which correctly identifies: 1) the second provisional priority claim of US 60/496,012 filed August 19, 2003, 2) the Assignment for Published Patent Application should be Genesis Group Inc. Enclosed is a copy of the Official Filing Receipt with the changes noted thereon. These errors were introduced by the USPTO and therefore no fee is submitted with this Request as the errors did not originate with the Applicant.

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **19-2550** as described below.

- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

Thuy H. Nguyen  
Reg. No. 47,336

*Signature*

Dated: November 8, 2006

**RECEIVED**

8 NOV 2006

Legal Staff  
International Division

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

(Date)

*Signature of Person Mailing Correspondence*

*Typed or Printed Name of Person Mailing Correspondence*

Tel.: (613) 232-2486

cc:



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/553,661	10/17/2005	1614	600	50680-6/slr	22	21	3

CONFIRMATION NO. 4668

7380

SMART & BIGGAR  
P.O. BOX 2999, STATION D  
900-55 METCALFE STREET  
OTTAWA, ON K1P5Y6  
CANADA

## FILING RECEIPT



\*OC000000020207761\*



*Application  
Collection due:  
Feb 7/06*

Date Mailed: 08/28/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Kenneth Kao, St John's, CANADA;  
Catherine Popadiuk, Burlington, CANADA;

## Assignment For Published Patent Application

X ~~Kenneth Kao et al~~ Genesis Group Inc.

Power of Attorney: The patent practitioners associated with Customer Number 7380.

## Domestic Priority data as claimed by applicant

X This application is a 371 of PCT/CA04/00571 04/16/2004  
and claims benefit of 60/463,309 04/17/2003; and 60/496,012 filed 08/19/2003

## Foreign Applications

If Required, Foreign Filing License Granted: 08/26/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/553,661**

Projected Publication Date: 12/07/2006

Non-Publication Request: No

Early Publication Request: No

*for dock*

*236*

**\*\* SMALL ENTITY \*\*****Title**

Pygopus in diagnosis and treatment of cancer

**Preliminary Class**

424

**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).